

APPLICATION FOR

UNITED STATES LETTERS PATENT

SPECIFICATION

INVENTOR(s): Katsuhito FUJIMOTO, Atsuko OHARA and
Satoshi NAOI

Title of the Invention: IMAGE PROCESSING APPARATUS

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A multilevel image into which a color or a back-and-white image is converted is input, and a slightly indistinct binary image generating unit generates a slightly indistinct binary image that includes a slightly indistinct line pattern and does not include background noise. Additionally, a shape-preserved binary image generating unit generates a binary image that preserves the shape of a line pattern and includes background noise. These images are ANDed for each pixel, so that a binary image that preserves the shape of the line pattern and does not include the background noise is generated.

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IMAGE PROCESSING APPARATUS

Background of the Invention

Field of the Invention

5 The present invention relates to an image processing apparatus.

Description of the Related Art

10 In recent years, paper form and document recognition techniques using a non-contact type image input device (OHR: Over Head Reader) have received widespread attention.

Fig. 1 shows the external view of an OHR.

15 The non-contact type image input device (OHR) is an image input device of a standing type shown in Fig. 1, which uses a line or an area CCD as an image pickup device. Using the OHR allows a user to feel comfortable in operations such as an operation for filling in a paper form or a document while inputting an image, an operation
20 for inputting an image while looking at a paper form or a document, etc., in comparison with a contact type image input device such as a conventional image scanner.

25 However, an image captured by an OHR (OHR image) suffers degradation in image quality, such as density unevenness, a shadow, image deformation, etc., in

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comparison with an image captured by a scanner (scanner image).

Fig. 2 shows the state of a grayscale (graylevel?) scanner image, whereas Fig. 3 shows the state of a grayscale OHR image. It is known from the OHR image shown in Fig. 3 that the degree of density unevenness is slightly high and character lines are more blurred although no shadow exists.

Fig. 4 shows the state of an OHR image with a shadow. As shown in this figure, the OHR image has a shadow. Therefore, a binarization technique that overcomes density unevenness, a shadow, etc. is essential to use an OHR.

To enable a recognition process with high accuracy for an OHR image, a binarization method for obtaining a stable line pattern is required for a shadow/density unevenness. Binarization using a predetermined threshold is insufficient, and local binarization such as Niblack binarization, etc. must be introduced. For Niblack local binarization, refer to the following document.

φ . D. Trier, A. K. Jain: "Goal-Directed Evaluation of Binarization Methods," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 17. NO. 12, pp. 1191-1201, 1995

Niblack local binarization is a method performing binarization for each pixel by defining that a threshold value of each pixel is $T=E+k\sigma$ (E and σ are respectively a density average and a standard deviation in the neighborhood of a target pixel, and k is a constant of approximately -0.4 to 0.4). A square area of $N \times N$ pixels, which centers on a target pixel, is used in the neighborhood of the target pixel ($N=7$ or so is frequently used).

Furthermore, if Niblack local binarization is applied unchanged, spotted black-and-white noise occurs in a background area due to the phenomenon that all of pixels in the neighborhood of a target pixel have uniform density. Fig. 5 exemplifies an OHR image, whereas Fig. 6 shows a binary image that is obtained by performing Niblack local binarization for the OHR image shown in Fig. 5.

As is known from Fig. 6, spotted black-and-white noise occurs in a background area (in case of $N=7$, and $k=0.1$).

Therefore, the spotted black-and-white background noise is eliminated by determining a 4-concatenated component with an average edge intensity of outline pixels, which is equal to or smaller than a predetermined value, of a 4-concatenated component

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As shown in Fig. 7A, for example, a square area

of 7×7 pixels centering on each of pixels of a grayscale image obtained from a color or a black-and-white image is recognized to be a process target. Assume that an average of the densities of black pixels within the square area is E , and a standard deviation from the average E of the densities of the pixels within the square area is σ . A threshold value T for determining whether a pixel to be binarized is either black or white is obtained by an equation $T=E+k\sigma$. Also assume that the density of the pixel to be binarized is g . This pixel is made black if $g \leq T$, and made white if $g > T$. According to such determination results, binary images are sequentially obtained by providing black or white data after being binarized as the density data of the target pixel (Fig. 7B).

Fig. 8 is a flowchart showing the flow of the Niblack local binarization process. Firstly, in step S1, a pixel to be processed is selected. In step S2, densities of pixels within a square area centering on the selected pixel are obtained. In step S3, an average E of the densities of the pixels within the square area, and a standard deviation σ are calculated. In step S4, a threshold value T is obtained with the equation $T=E+k\sigma$ (k is typically a value between -0.4 and 0.4). Then, in step S5, it is determined whether or not the density

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Fig. 9 explains a Sobel edge filter and edge intensity.

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If the background noise elimination is executed with the average edge intensity of 4 or smaller in a similar manner as in the case of Fig. 10, also the

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An image processing apparatus according to the present invention is an image processing apparatus, to which an image including a line pattern such as a character, a ruled line, a graphic, etc. is input, outputs a corresponding binary image. This apparatus

comprises: a slightly indistinct binary image
generating unit generating a first image that includes
almost an entire line pattern although its shape is
indistinct; a shape-preserved binary image generating
5 unit generating a second image that includes almost the
entire line pattern and also noise in a background area
other than the neighborhood of the line pattern; and
an image combining unit combining the first and the
second images for each pixel, and generating a
10 satisfactory binary image which includes almost the
entire line pattern while preserving its shape and does
not include the noise in the background area.

The present invention can provide an image
processing apparatus that can securely capture the shape
15 of a line pattern by combining images having different
natures (the first and the second images. Particularly,
these images are binary images) for each pixel
(particularly, AND combination for each pixel) while
eliminating noise in a background area. With the
20 conventional techniques, a line pattern becomes
slightly indistinct if attempts are made to securely
capture its shape, or spotted black-and-white noise
occurs in a background area if attempts are made to make
the shape of a line pattern distinct. Here, particularly,
25 the first image is a binary image where the shape of

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5 According to one preferred embodiment of the
present invention, the first and the second images are
combined after background areas of the first and the
second images are detected, and a background noise
elimination process is performed. As a result, the image
10 obtained by combining the images becomes superior in
quality.

Brief Description of the Drawings

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    Fig. 2 shows the state of a grayscale scanner
20    image;

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Fig. 4 shows the state of an OHR image with a

25 Fig. 6 shows a binary image obtained by performing

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Fig. 8 is a flowchart showing the flow of the Niblack local binarization process;

Fig. 10 shows a result of eliminating background noise by removing 4-concatenated components whose average edge intensities are equal to or smaller than 4;

Fig. 12 shows the state where Niblack local binarization is performed without noise elimination;

Fig. 13 shows a binary image obtained by performing, for the image of Fig. 11, Niblack local binarization with which pixels whose average edge intensities are 4.0 or smaller are removed;

Fig. 14 shows a binary image obtained by performing, for the image of Fig. 11, Niblack local binarization with which pixels whose average edge intensities are 2.0 or smaller are removed;

Fig. 15 exemplifies a first configuration of an image processing apparatus according to a preferred

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Fig. 22 shows a shape-preserved binary image with neighboring noise, which is obtained from the grayscale image that is shown in Fig. 11 and includes the extremely faint character string;

Fig. 24 shows a combined binary image obtained by
5 ANDing the slightly indistinct binary image and the
shape-preserved binary image for each pixel;

10 Fig. 26 exemplifies a more specific example of the
preferred embodiment according to the present
invention;

Fig. 28 is a graph representing a characteristic amount of 4-concatenated components of a slightly indistinct binary image with background noise, that is, a distribution of the number of black pixels to an average edge intensity of concatenated components;

25 Fig. 30 shows a shape-preserved binary image with

Fig. 31 is a graph representing a characteristic
 5 amount of 4-concatenated components of a
 shape-preserved binary image with neighboring noise,
 that is, a distribution of the number of black pixels
 to an average edge intensity of concatenated components;

Fig. 33 shows a combined binary image obtained by ANDing the slightly indistinct binary image and the shape-preserved binary image for each pixel;

Fig. 35 shows the configuration of an information processing device required when the preferred embodiments of the present invention is implemented by a program;

Description of the Preferred Embodiments

According to preferred embodiments of the present
25 invention, a slightly indistinct binary image that

includes almost an entire pattern although its shape is indistinct, and a shape-preserved binary image that includes the line pattern while preserving its shape and also includes background noise other than the neighborhood of the line pattern are generated by using a nature such that a distribution of the number of black pixels to an average edge intensity of concatenated components (a distribution of concatenated components when a graph is plotted by taking the number of black pixels and an average edge intensity of a concatenated component as axes) of a line pattern, and that of concatenated components of background noise overlap regarding a binary image that preserves the shape of a line pattern such as a character, a ruled line, a graphic, etc. and is resultant from local binarization, whereas these distributions are separate regarding a binary image whose line pattern is slightly made indistinct and which is resultant from local binarization, and these binary images are ANDed, so that a satisfactory image that includes almost the entire line pattern while preserving its shape and does not include the noise in the background area is generated.

Fig. 15 exemplifies a first configuration of an image processing apparatus according to a preferred embodiment of the present invention.

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This binary image includes almost the entire line
5 patterns although their shapes are indistinct, and does
not include the noise in the background area.

10 This binary image includes almost the entire line
patterns while preserving their shapes, and also
includes the noise in the background area other than
the neighborhood of the line patterns.

In the above described and the following preferred
embodiments, a slightly indistinct binary image is
25 obtained by setting the value of σ to a large positive

value, whereas a shape-preserved binary image is obtained by setting the value of σ to a negative or a small value in the Niblack local binarization process.

Accordingly, the algorithms of the processes performed by the slightly indistinct binary image generating unit 10 and the shape-preserved binary image generating unit 11, which are shown in Fig. 15, are the same Niblack local binarization process, and only difference exists between binarization threshold values in these algorithms.

Fig. 19 exemplifies a second configuration of an image processing apparatus according to a preferred embodiment of the present invention.

The image processing apparatus having this exemplified configuration, to which a multilevel image including a line pattern such as a character, a ruled line, a graphic, etc. is input, comprises a slightly indistinct binary image generating unit 10a, a shape-preserved binary image generating unit 11a, and a binary image combining unit 12. When a binary image obtained by extracting a line pattern as black pixels is output, the slightly indistinct binary image generating unit 10a generates a slightly indistinct binary image that includes almost an entire line pattern although its shape is indistinct, and does not include

noise in a background area; the shape-preserved binary image generating unit 11a generates an image that includes almost the entire line pattern while preserving its shape, and also includes noise in a background area other than the neighborhood of the line pattern; and the binary image combining unit 12 combines the slightly indistinct binary image and the shape-preserved binary image. As a result, a satisfactory binary image that includes almost the entire line pattern while preserving its shape, and does not include the noise in the background area is generated and output.

The slightly indistinct binary image generating unit 10a comprises a slightly indistinct binarizing unit 13 and a background noise eliminating unit 15. The slightly indistinct binarizing unit 13 generates from an input multilevel image a slightly indistinct binary image with background noise, which includes almost an entire line pattern although its shape is indistinct and also includes noise in a background area, and the background noise eliminating unit 15 generates a slightly indistinct binary image that does not include the background noise from the slightly indistinct binary image with background noise. The shape-preserved binary image generating unit 11a comprises a shape-preserved binarizing unit 14, and a background noise eliminating

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5 This binary image has a nature such that almost
the entire line patterns are included although their
shapes are indistinct, and the noise in the background
area is not included. The reason why such a binary image
can be generated is that a distribution of the number
10 of black pixels to an average edge intensity of black
pixel concatenated components including the line
patterns of a slightly indistinct binary image with
background noise is separate from a distribution of
concatenated components including only the background
15 noise of the image.

20 This binary image includes almost the entire line
patterns while preserving their shapes, and also
includes the noise in the background containing the
neighborhood of the line patterns.

Fig. 23 shows a shape-preserved binary image
 25 obtained from the grayscale image that is shown in Fig.

Any of the above described configurations utilizes a nature such that a distribution of the number of black pixels to an average edge intensity of concatenated components including line patterns is separate from that of concatenated components of background noise as shown in the graphs that represent the number of black pixels to an average edge intensity for line patterns and are shown in Figs. 25A and 25B, if a comparison is made for a binary image resultant from the local binarization between a distribution of a shape-preserved binary image (Fig. 25A) and that of a slightly indistinct binary image (Fig. 25B) by changing the binarization threshold value.

A slightly indistinct binary image that includes almost the entire line patterns although their shapes are indistinct and does include the noise in the background area, and a shape-preserved binary image that includes almost the entire line patterns while preserving their shapes and also includes noise in a background area other than the neighborhood of the line patterns are generated, and the generated binary images are ANDed, whereby a satisfactory binary image that includes almost the entire line patterns while preserving their shapes and does not include the noise in the background area is generated.

almost the entire line pattern while preserving its shape, and also includes noise in a background area other than the neighborhood of the line pattern; and the binary image combining unit 12 combines the slightly indistinct binary image and the shape-preserved binary image. As a result, a satisfactory binary image that includes almost the entire line pattern while preserving its shape and does not include the noise in the background area is generated and output.

The slightly indistinct binary image generating unit 10a comprises a slightly indistinct binarizing unit 13 and a background noise eliminating unit 15. The slightly indistinct binarizing unit 13 obtains a binary image with Niblack local binarization by defining that an average of densities of pixels in an area of 7×7 pixels centering on a target pixel is E , and a standard deviation is σ , and by setting $T = E + 0.3\sigma$ as a binarization threshold value for each pixel. If the value of k in the equation $T = E + k\sigma$ is a large value of approximately 0.3, a slightly indistinct binary image with background noise, which includes almost an entire line pattern although its shape is indistinct and also includes noise in a background area, can be generated from an input multilevel image.

The shape-preserved binary image generating unit

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11a comprises a shape-preserved binarizing unit 14, and a background noise eliminating unit 15. The shape-preserved binarizing unit 14 obtains a binary image with Niblack local binarization by defining that an average of densities of pixels in an area of 7×7 pixels centering on a target pixel is E , and a standard deviation is σ , and by setting $T = E + 0.1\sigma$ as a binarization threshold value for each pixel. If the value of k in the equation $T = E + k\sigma$ is a small value of approximately 0.1, a shape-preserved binary image with neighboring noise, which includes almost an entire line pattern while preserving its shape and also includes noise in a background area other than the neighborhood of the line pattern, can be generated from an input multilevel image.

The background noise eliminating unit 15 generates a binary image from the slightly indistinct binary image with background noise and the shape-preserved binary image with neighboring noise by eliminating the background noise.

In this preferred embodiment, the background noise eliminating unit is implemented by the following method explained with a graph.

Fig. 27 shows a slightly indistinct binary image with background noise, which is obtained from the

This binary image includes almost the entire line patterns although their shapes are indistinct and also includes the noise in the background area.

Fig. 28 is a graph representing a characteristic amount of 4-concatenated components of a slightly indistinct binary image with background noise, that is, a distribution of the number of black pixels of concatenated components to the average edge intensity of outline pixels. A distribution of concatenated components of background noise is clearly separate from that of concatenated components including line patterns. The reason is that the background noise in the neighborhood of the line patterns is concatenated with the line patterns, since the image is made indistinct. Accordingly, the background noise eliminating unit removes the concatenated components belonging to an area A, so that only the concatenated components including the line patterns can be extracted. A condition of belonging to the area A in this preferred embodiment is that the number of black pixels is equal to or smaller than 13, or an average edge intensity is equal to or smaller than 2.2, or the average edge intensity is equal to or smaller than $(3.2 - (\text{the number of black$

pixels-15)/65).

The background noise eliminating unit eliminates the background noise from the slightly indistinct binary image with background noise by using the condition to be satisfied for belonging to the area A, so that the slightly indistinct binary image that includes almost the entire line patterns although their shapes are indistinct and does not include the noise in the background area can be generated.

Fig. 29 shows a slightly indistinct binary image obtained from the grayscale image that is shown in Fig. 11 and includes the extremely faint character string.

This binary image has a nature such that almost the entire line patterns are included although their shapes are indistinct, and the noise in the background area is not included. The reason why such a binary image can be generated is that a characteristic amount of the number of black pixels to the average edge intensity of black pixel concatenated components including the line patterns of a slightly indistinct binary image with background noise is separate from a distribution of the number of black pixels to the average edge intensity of concatenated components including only the background noise.

The shape-preserved binary image generating unit

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Fig. 31 is a graph representing a characteristic amount of 4-concatenated components of a

5 A distribution of concatenated components of
background noise and that of concatenated components
including the line patterns overlap. The reason is that
the background noise in the neighborhood of the line
patterns is not concatenated with the line patterns,
10 since the image is not indistinct. Therefore, the
background noise eliminating unit removes the
concatenated components belonging to an area B, so that
a shape-preserved binary image that includes almost the
entire line patterns while preserving their shapes and
15 also includes the noise in the background area other
than the neighborhood of the line patterns can be
generated. A condition of belonging to the area B in
this preferred embodiment is that the number of black
pixels is equal to or smaller than 12 and the average
20 edge intensity is equal to or smaller than 4, or the
number of black pixels is equal to or smaller than 60
and the average edge intensity is equal to or smaller
than 2.8.

25 The background noise eliminating unit eliminates
the background noise from the shape-preserved binary

image with neighboring noise by using the above described condition to be satisfied for belonging to the area B, so that a shape-preserved binary image that includes almost the entire line patterns while preserving their shapes and also includes the noise in the background area other than the neighborhood of the line patterns can be generated.

Fig. 32 shows a shape-preserved binary image obtained from the grayscale image that is shown in Fig. 11 and includes the extremely faint character string.

This binary image has a nature such that almost the entire line patterns are included while their shapes are preserved, and also the noise in the background area other than the neighborhood of the line patterns is included. The reason why the noise in the background is included is that the background noise in the area where distributions of the number of black pixels to the average edge intensity of concatenated components including the line patterns and the background noise overlap cannot be eliminated, when the concatenated components of the background noise are removed from the shape-preserved binary image with neighboring noise.

Fig. 33 shows a combined binary image obtained by ANDing the slightly indistinct binary image and the shape-preserved binary image for each pixel.

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binarization, in which the shapes of the line patterns are made indistinct. The generated binary images are ANDed for each pixel, so that a satisfactory binary image that includes almost the entire line patterns while preserving their shapes and does not include the noise in the background area is generated.

Fig. 34 is a flowchart showing the above described background noise elimination process.

Firstly, in step S10, the number of black pixels and the average edge intensity of each of concatenated components are obtained. Then, in step S11, the number of black pixels and the average edge intensity of one of the concatenated components are selected as a concatenated component to be processed. In step S12, it is determined whether or not the number of black pixels is within a predetermined range. If the result of the determination is "NO", the process goes to step S15. If the result of the determination is "YES", it is further determined whether or not the average edge intensity is within a predetermined range in step S13. If the result of the determination is "NO" in step S13, the process goes to step S15. If the result of the determination is "YES" in step S13, the concatenated component to be processed is removed in step S14. The process then goes to step S15. In step S15, it is

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determined whether or not all the concatenated components have been processed. If a concatenated component yet to be processed is left, the process goes back to step S11. If it is determined that all the concatenated components have been processed, the process is terminated.

The above described example refers to the method introduced by the preferred embodiments according to the present invention as the background noise elimination. However, other methods such as the Yanowitz and Bruckstein's method, etc. are available. Or, for example, the method recited by Japanese Patent Application NO. 11-335495, which is a previous application of the present applicant, may be available. This application recites, as a background determination method, a method with which a target pixel is determined to be background if a standard deviation σ of the densities of pixels within a neighboring area of the target pixel is smaller than a threshold value σ_{min} , or a target pixel is determined to be background if an average density difference Δg is smaller than a predetermined threshold value Δg_{min} when the average density difference $\Delta g = \{\text{an average density of white pixels within a neighboring area} - \text{an average density of black pixels within the neighboring area}\}$ is defined.

With this method, a pixel determined to be background is corrected to be white, so that spotted black-and-white noise is eliminated. Here, the average density difference is a difference between the average densities of the sets of white and black pixels in the case where neighboring pixels of a target pixel are tentatively binarized with the binarization threshold value of the target pixel.

Additionally, as in the above described preferred embodiment, postprocessing may be further performed for an image to reduce noise after a slightly indistinct binary image and a shape-preserved binary image are ANDed. Namely, the background noise eliminating unit 15 shown in Fig. 26 determines a concatenated component to be eliminated as background noise by judging whether or not the concatenated component is within a predetermined range specified by the number of black pixels and an average edge intensity, as shown in the graphs of Figs. 28 and 31. However, this is not sufficient for all cases. That is, background noise can possibly remain even after the AND process.

Therefore, as described above, the method recited by Japanese Patent Application No. 11-225495, or the Yanowitz and Bruckstein's postprocessing, which is also available as the background noise eliminating unit, is

performed for a binary image after being ANDed. Especially, the Yanowitz and Bruckstein's postprocessing is described as the Postprocessing step in the above described paper.

5 As described above, a binary image of higher quality can be obtained by using the method of the previous application or the Yanowitz and Bruckstein's postprocessing in combination with the improvement in the quality of a binary image, which is implemented by
10 the AND process of the preferred embodiments.

Fig. 35 shows the configuration of an information processing device required when any of the preferred embodiments according to the present invention is implemented by a program.

15 In the information processing device, a CPU 21 copies a program into a memory 22 via an external storage device 25 such as a hard disk, etc., or a medium driving device 26 reading a portable storage medium 29 (e.g., CD-ROM, floppy disk, DVD, etc.), which is connected by
20 a bus 28, and executes the program.

An input device 23 is configured by a keyboard, a mouse, a tablet, an OHR, etc., which a user of the information processing device utilizes to issue a command to the CPU 21, or to capture an image. For example,
25 it is also possible to set the value of σ in the Niblack

binarization process, or to specify the range of the number of black pixels or an average edge intensity in the background noise elimination process. An output device 24 is configured by a display, a printer, etc., and is used to present a process result to a user, or to print a binary image.

A network connecting device 27 is arranged to exchange data via a network, and can be used to read an image from an OHR apart from the information processing device, or to download a program for executing the processes of the preferred embodiments.

Fig. 36 explains a usage pattern of program data.

A program according to the preferred embodiments is stored in a memory 35 such as a RAM, a hard disk, etc. of an information processing device 31, and used. However, the program stored onto a CD-ROM, a floppy disk 32, etc. may be loaded and used. Otherwise, program data may be downloaded from a program provider 30 via a line 33, and used in the information processing device 31. Or, the program possessed by the program provider 30 may be executed under a network environment without being downloaded.

As described above, according to the present invention, a change in characteristic distributions of concatenated components is effectively used, whereby

an extremely faint character string within a multilevel image can be extracted without including background noise.

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